

Basic Policy on Antisocial Forces

Mita Securities Co., Ltd.

To ensure the appropriateness and soundness of our business, Mita Securities Co., Ltd. (hereinafter referred to as "the Company") declare that the Company will eliminate and cut off the relationship with a group or individual (hereinafter referred to as "antisocial forces") who pursues economic interests using violence, coercion, and fraudulent methods, and hereby establish a basic policy.

1. Organizational Response

The Company will take a resolute response to antisocial forces as a whole organization. In addition, the Company ensures the safety of employees who respond to antisocial forces.

2. Cooperation with External Specialized Organizations

The Company will build close cooperation with external professional organizations such as the police, the Center for Removal of Criminal Organizations, lawyers, and the Japan Securities Dealers Association.

3. Establishment of a System

The Company will establish an internal system to deal with unreasonable demands from antisocial forces. In addition, the Company will establish internal regulations, and will ensure that all officers and employees are fully aware of this system.

4. Severance of Relations with Antisocial Forces

The Company will not have any relationship with antisocial forces, including any business relationships. In addition, the Company will reject unreasonable demands from antisocial forces.

5. Legal Response in Emergencies

In the event of an emergency, such as an unreasonable demand by antisocial forces, the Company will take legal action from both sides of civil and criminal as necessary.

6. Prohibition of Provision of Funds, etc.

The Company will not engage in any inappropriate transactions such as backroom transactions or the provision of funds with antisocial forces.

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